

**Green's functions in  $N$ -body quantum mechanics**  
**A mathematical perspective**

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**1. Linear operators**

**2. Electronic Hamiltonians**

**3. One-body Green's function and self-energy**

**4. The dynamically screened Coulomb operator  $W$**

**5. Hedin's equations and the GW approximation**

**A1. Fourier transform**

**A2. Causal functions, Hilbert transform and Kramers-Kronig relations**

# 1 - Linear operators

## References:

- E.B. Davies, *Linear operators and their spectra*, Cambridge University Press 2007.
- B. Helffer, *Spectral theory and its applications*, Cambridge University Press 2013.
- M. Reed and B. Simon, *Modern methods in mathematical physics*, Vol. 1, 2nd edition, Academic Press 1980.

**Notation:** in this section,  $\mathcal{H}$  denotes a separable complex Hilbert space,  $\langle \cdot | \cdot \rangle$  its scalar product, and  $\| \cdot \|$  the associated norm.

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**The spectrum of a matrix  $A \in \mathbb{C}^{d \times d}$  is the finite set**

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- **there exists a functional calculus for hermitian matrices.**

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## Functional calculus for hermitian matrices

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For any  $f : \mathbb{R} \rightarrow \mathbb{C}$ , the matrix

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**Functional calculus can be extended to self-adjoint operators in Hilbert spaces.**

**Functional calculus is extremely useful in quantum physics, e.g. to define**

- the propagator  $e^{-itH}$  associated with a Hamiltonian  $H$ ;
- the density matrix  $\frac{1}{1 + e^{(H - \varepsilon_F)/(k_B T)}}$  of a fermionic system at temperature  $T$  and chemical potential (Fermi level)  $\varepsilon_F$ .

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**Definition-Theorem (bounded linear operator).** A bounded operator on  $\mathcal{H}$  is a linear map  $A : \mathcal{H} \rightarrow \mathcal{H}$  such that

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Endowed with its norm  $\|\cdot\|$  and the  $*$  operation,  $\mathcal{B}(\mathcal{H})$  is a  $C^*$ -algebra.

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**Definition (extensions of operators).** Let  $A_1$  and  $A_2$  be operators on  $\mathcal{H}$ .  $A_2$  is called an extension of  $A_1$  if  $D(A_1) \subset D(A_2)$  and if  $\forall u \in D(A_1)$ ,  $A_2 u = A_1 u$ .

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**Symmetric operators are not very interesting. Only self-adjoint operators represent physical observables and have nice mathematical properties:**

- **real spectrum;**
- **spectral decomposition and functional calculus.**

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**Case of unbounded operators:**

symmetric (easy to check)  $\not\Leftrightarrow$  self-adjoint (sometimes difficult to check)

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## Some unbounded self-adjoint operators arising in quantum mechanics

- **position operator along the  $j$  axis:**

- $\mathcal{H} = L^2(\mathbb{R}^d)$ ,

- $D(\hat{r}_j) = \{u \in L^2(\mathbb{R}^d) \mid r_j u \in L^2(\mathbb{R}^d)\}$ ,  $(\hat{r}_j \phi)(\mathbf{r}) = r_j \phi(\mathbf{r})$ ;

- **momentum operator along the  $j$  axis:**

- $\mathcal{H} = L^2(\mathbb{R}^d)$ ,

- $D(\hat{p}_j) = \{u \in L^2(\mathbb{R}^d) \mid \partial_{r_j} u \in L^2(\mathbb{R}^d)\}$ ,  $(\hat{p}_j \phi)(\mathbf{r}) = -i \partial_{r_j} \phi(\mathbf{r})$ ;

- **kinetic energy operator:**

- $\mathcal{H} = L^2(\mathbb{R}^d)$ ,

- $D(T) = H^2(\mathbb{R}^d) := \{u \in L^2(\mathbb{R}^d) \mid \Delta u \in L^2(\mathbb{R}^d)\}$ ,  $T = -\frac{1}{2} \Delta$ ;

- **Schrödinger operators in 3D: let  $V \in L^2_{\text{unif}}(\mathbb{R}^3, \mathbb{R})$  ( $V(\mathbf{r}) = -\frac{Z}{|\mathbf{r}|}$  OK)**

- $\mathcal{H} = L^2(\mathbb{R}^3)$ ,

- $D(H) = H^2(\mathbb{R}^3)$ ,  $H = -\frac{1}{2} \Delta + V$ .

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**Linear operators and Green's functions**



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## Linear operators and Green's functions

### Kernel of a linear operator on $L^2(\mathbb{R}^d)$

**Let  $A$  be a linear operator on  $L^2(\mathbb{R}^d)$  with domain  $D(A)$ .**

**The kernel of  $A$ , if it exists, is the distribution  $A(\mathbf{x}, \mathbf{x}')$  such that**

$$\forall \phi \in D(A), \quad (A\phi)(\mathbf{x}) = \int_{\mathbb{R}^d} A(\mathbf{x}, \mathbf{x}') \phi(\mathbf{x}') d\mathbf{x}' .$$

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### Green's function of a linear operator on $L^2(\mathbb{R}^d)$

If  $A$  is invertible, the kernel  $G(\mathbf{x}, \mathbf{x}')$  of  $A^{-1}$ , if it exists, is called the Green's function of  $A$ . The solution  $u$  to the equation  $Au = f$  then is

$$u(\mathbf{x}) = \int_{\mathbb{R}^d} G(\mathbf{x}, \mathbf{x}') f(\mathbf{x}') d\mathbf{x}' \quad \text{for a.a. } \mathbf{x} \in \mathbb{R}^d .$$

## Linear operators and Green's functions

### Kernel of a linear operator on $L^2(\mathbb{R}^d)$

Let  $A$  be a linear operator on  $L^2(\mathbb{R}^d)$  with domain  $D(A)$ .

The kernel of  $A$ , if it exists, is the distribution  $A(\mathbf{x}, \mathbf{x}')$  such that

$$\forall \phi \in D(A), \quad (A\phi)(\mathbf{x}) = \int_{\mathbb{R}^d} A(\mathbf{x}, \mathbf{x}') \phi(\mathbf{x}') d\mathbf{x}' .$$

**Schwartz kernel theorem '66:** all "well-behaved" operators have kernels.

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**Remark:** the Green's functions used in many-body perturbation theory are related to, but are not exactly, this kind of Green's functions.

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$$\sigma_p(A) = \{z \in \mathbb{C} \mid (z - A) : D(A) \rightarrow \mathcal{H} \text{ non-injective}\} = \{\text{eigenvalues of } A\}$$

$$\sigma_c(A) = \overline{\{z \in \mathbb{C} \mid (z - A) : D(A) \rightarrow \mathcal{H} \text{ injective but non surjective}\}}.$$

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**Then**

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$\mathcal{H}_p$  : set of bound states,       $\mathcal{H}_c$  : set of diffusive states

---

## Diagonalizable self-adjoint operators and Dirac's bra-ket notation

Let  $A$  be a self-adjoint operator that can be diagonalized in an orthonormal basis  $(e_n)_{n \in \mathbb{N}}$  (**this is not the case for many useful self-adjoint operators!**).

**Dirac's bra-ket notation:** 
$$A = \sum_{n \in \mathbb{N}} \lambda_n |e_n\rangle \langle e_n|, \quad \lambda_n \in \mathbb{R}, \quad \langle e_m | e_n \rangle = \delta_{mn}.$$



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- $\mathcal{H}_p = \mathcal{H}$  and  $\mathcal{H}_c = \{0\}$  (**no diffusive states**);
- functional calculus for diagonalizable self-adjoint operators: for all  $f : \mathbb{R} \rightarrow \mathbb{C}$ , the operator  $f(A)$  defined by

$$D(f(A)) = \left\{ |u\rangle = \sum_{n \in \mathbb{N}} u_n |e_n\rangle \mid \sum_{n \in \mathbb{N}} (1 + |f(\lambda_n)|^2) |u_n|^2 < \infty \right\}, \quad f(A) = \sum_{n \in \mathbb{N}} f(\lambda_n) |e_n\rangle\langle e_n|$$

is independent of the choice of the spectral decomposition of  $A$ .

**Theorem (functional calculus for bounded functions).** Let  $\mathfrak{B}(\mathbb{R}, \mathbb{C})$  be the  $*$ -algebra of bounded  $\mathbb{C}$ -valued Borel functions on  $\mathbb{R}$  and let  $A$  be a self-adjoint operator on  $\mathcal{H}$ . Then there exists a unique map

$$\Phi_A : \mathfrak{B}(\mathbb{R}, \mathbb{C}) \ni f \mapsto f(A) \in \mathcal{B}(\mathcal{H})$$

satisfies the following properties:

**1.  $\Phi_A$  is a homomorphism of  $*$ -algebras:**

$$(\alpha f + \beta g)(A) = \alpha f(A) + \beta g(A), \quad (fg)(A) = f(A)g(A), \quad \overline{f}(A) = f(A)^*;$$

**2.  $\|f(A)\| \leq \sup_{x \in \mathbb{R}} |f(x)|$ ;**

**3. if  $f_n(x) \rightarrow x$  pointwise and  $|f_n(x)| \leq |x|$  for all  $n$  and all  $x \in \mathbb{R}$ , then**

$$\forall u \in D(A), \quad f_n(A)u \rightarrow Au \text{ in } \mathcal{H};$$

**4. if  $f_n(x) \rightarrow f(x)$  pointwise and  $\sup_n \sup_{x \in \mathbb{R}} |f_n(x)| < \infty$ , then**

$$\forall u \in \mathcal{H}, \quad f_n(A)u \rightarrow f(A)u \text{ in } \mathcal{H};$$

**In addition, if  $u \in \mathcal{H}$  is such that  $Au = \lambda u$ , then  $f(A)u = f(\lambda)u$ .**

**Theorem** (spectral projections and functional calculus - general case -).

Let  $A$  be a self-adjoint operator on  $\mathcal{H}$ .

- For all  $\lambda \in \mathbb{R}$ , the bounded operator  $P_\lambda^A := \mathbb{1}_{]-\infty, \lambda]}(A)$ , where  $\mathbb{1}_{]-\infty, \lambda]}(\cdot)$  is the characteristic function of  $] - \infty, \lambda]$ , is an orthogonal projection.

- Spectral decomposition of  $A$ : for all  $u \in D(A)$  and  $v \in \mathcal{H}$ , it holds

$$\langle v | Au \rangle = \int_{\mathbb{R}} \lambda d\langle v | P_\lambda^A u \rangle, \quad \text{which we denote by} \quad A = \int_{\mathbb{R}} \lambda dP_\lambda^A.$$

- Functional calculus: let  $f$  be a (not necessarily bounded)  $\mathbb{C}$ -valued Borel function on  $\mathbb{R}$ . The operator  $f(A)$  can be defined by

$$D(f(A)) := \left\{ u \in \mathcal{H} \mid \int_{\mathbb{R}} |f(\lambda)|^2 d\langle u | P_\lambda^A u \rangle < \infty \right\}$$

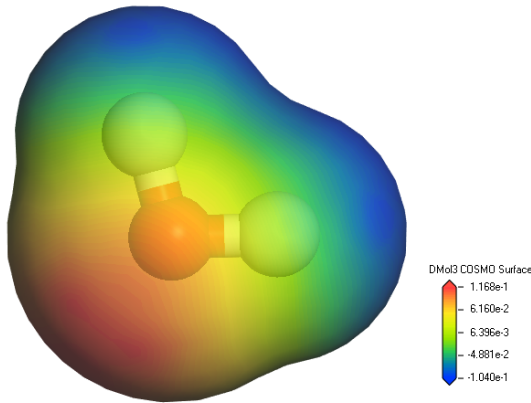
and

$$\forall (u, v) \in D(f(A)) \times \mathcal{H}, \quad \langle v | f(A)u \rangle := \int_{\mathbb{R}} f(\lambda) \langle v | P_{d\lambda}^A u \rangle.$$

## **2 - Electronic Hamiltonians**



### Electronic problem for a given nuclear configuration $\{\mathbf{R}_k\}_{1 \leq k \leq M}$



**Ex: water molecule  $\text{H}_2\text{O}$**

$$M = 3, N = 10, z_1 = 8, z_2 = 1, z_3 = 1$$

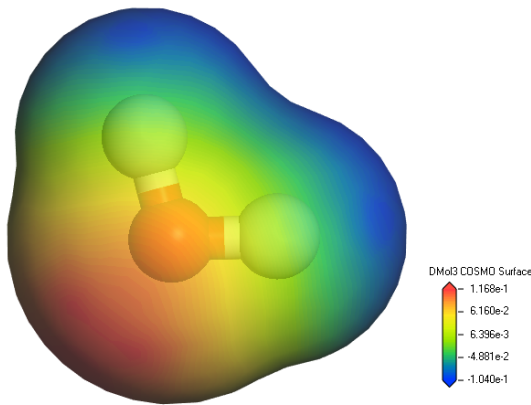
$$v_{\text{ext}}(\mathbf{r}) = - \sum_{k=1}^M \frac{z_k}{|\mathbf{r} - \mathbf{R}_k|}$$

$$\left( -\frac{1}{2} \sum_{i=1}^N \Delta_{\mathbf{r}_i} + \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) + \sum_{1 \leq i < j \leq N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \right) \Psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = E \Psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$$

$|\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N)|^2$  **probability density of observing electron 1 at  $\mathbf{r}_1$ , electron 2 at  $\mathbf{r}_2$ , ...**

$$\forall p \in \mathfrak{S}_N, \quad \Psi(\mathbf{r}_{p(1)}, \dots, \mathbf{r}_{p(N)}) = \varepsilon(p) \Psi(\mathbf{r}_1, \dots, \mathbf{r}_N), \quad \textbf{(Pauli principle)}$$

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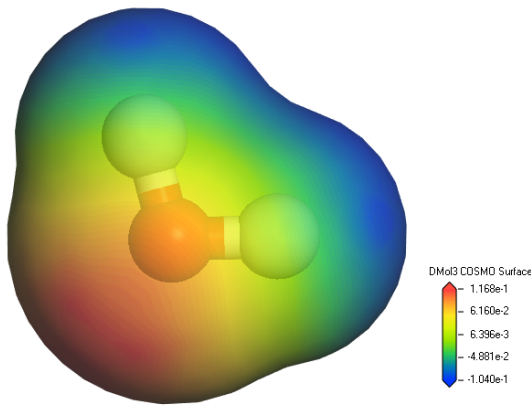
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$|\Psi(\mathbf{r}_1, \dots, \mathbf{r}_N)|^2$  probability density of observing electron 1 at  $\mathbf{r}_1$ , electron 2 at  $\mathbf{r}_2$ , ...

$$\Psi \in \mathcal{H}_N = \bigwedge^N \mathcal{H}_1, \quad \mathcal{H}_1 = L^2(\mathbb{R}^3, \mathbb{C})$$

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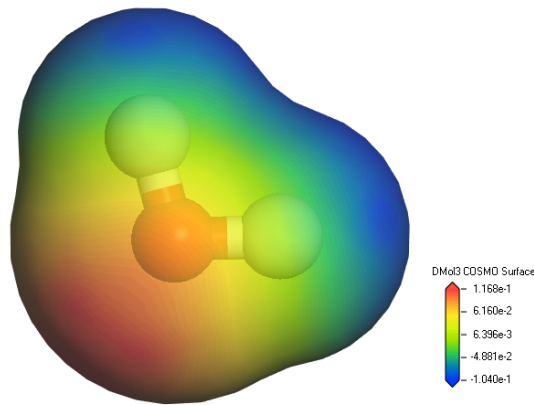
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**Theorem (Kato '51).** The operator  $H_N := -\frac{1}{2} \sum_{i=1}^N \Delta_{\mathbf{r}_i} + \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) + \sum_{1 \leq i < j \leq N} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$  with domain  $D(H_N) := \mathcal{H}_N \cap H^2(\mathbb{R}^{3N})$  is self-adjoint on  $\mathcal{H}_N$ .

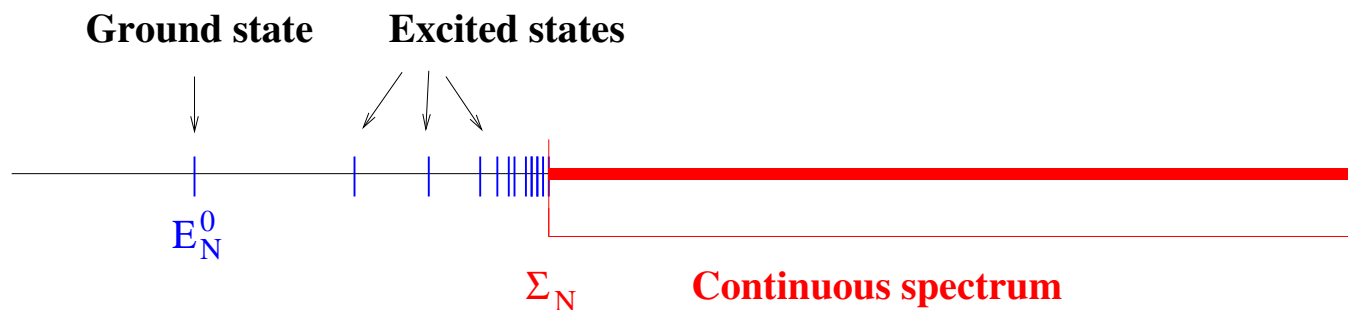
**Theorem** (spectrum of  $H_N$ ).

**1. HVZ theorem (Hunzinger '66, van Winten '60, Zhislin '60)**

$\sigma_c(H_N) = [\Sigma_N, +\infty)$  **with**  $\Sigma_N = \min \sigma(H_{N-1}) \leq 0$  **and**  $\Sigma_N < 0$  **iff**  $N \geq 2$ .

**2. Bound states of neutral molecules and positive ions (Zhislin '61)**

**If**  $N \leq Z := \sum_{k=1}^M z_k$ , **then**  $H_N$  **has an infinite number of bound states.**



**3. Bound states of negative ions (Yafaev '72)**

**If**  $N \geq Z + 1$ , **then**  $H_N$  **has at most a finite number of bound states.**

### Assumptions

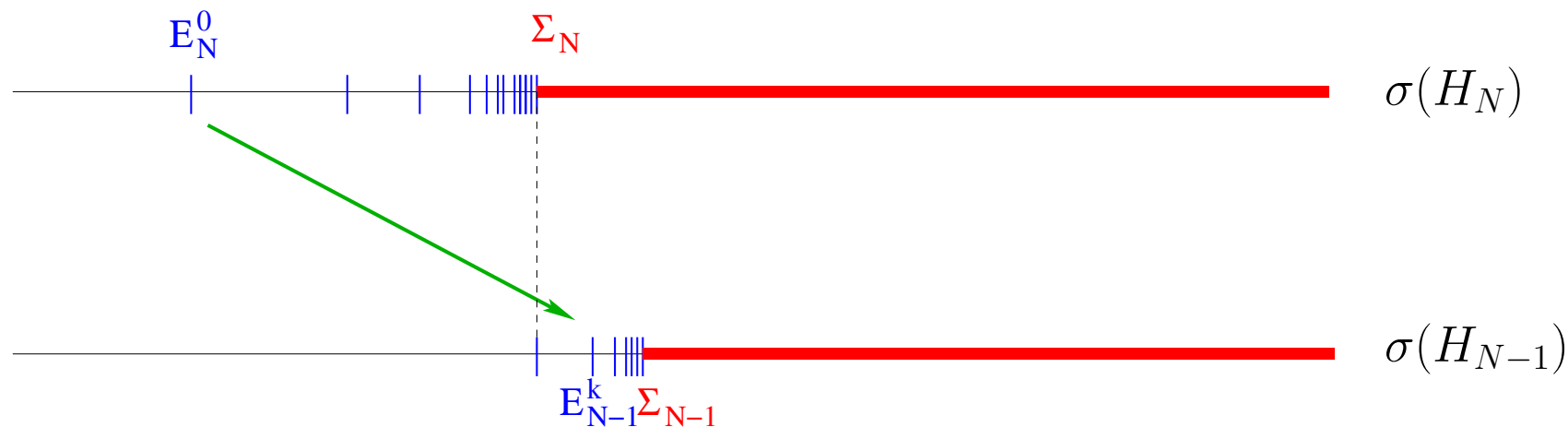
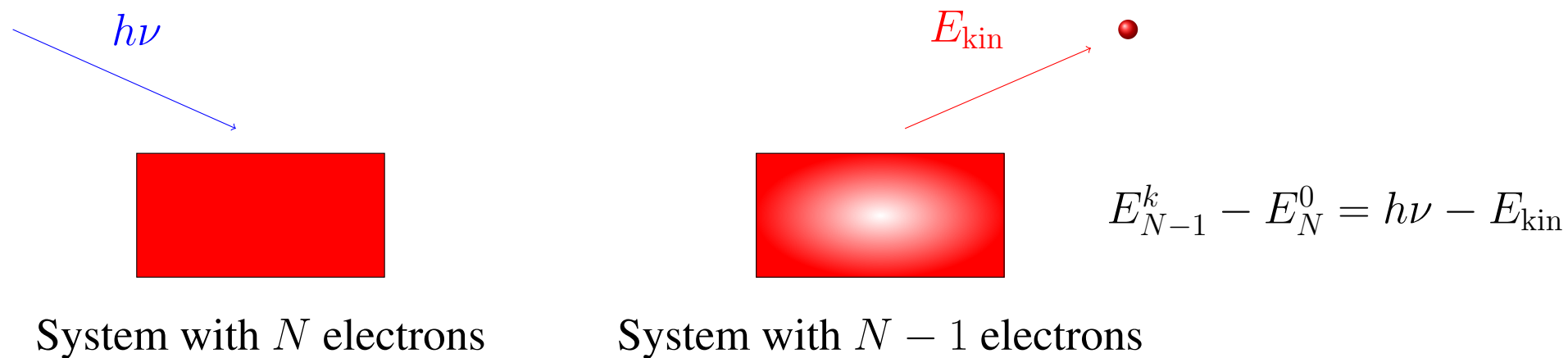
#### 1. Non-degeneracy of the $N$ -particle ground state

$$E_N^0 \text{ is a simple eigenvalue of } H_N, \quad H_N \Psi_N^0 = E_N^0 \Psi_N^0, \quad \|\Psi_N^0\| = 1.$$

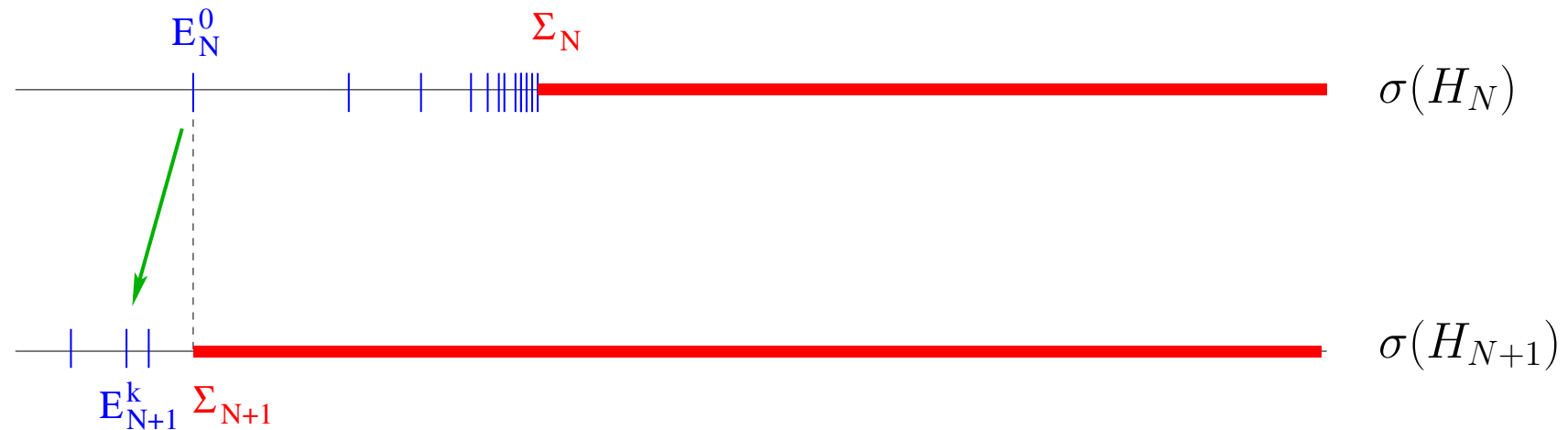
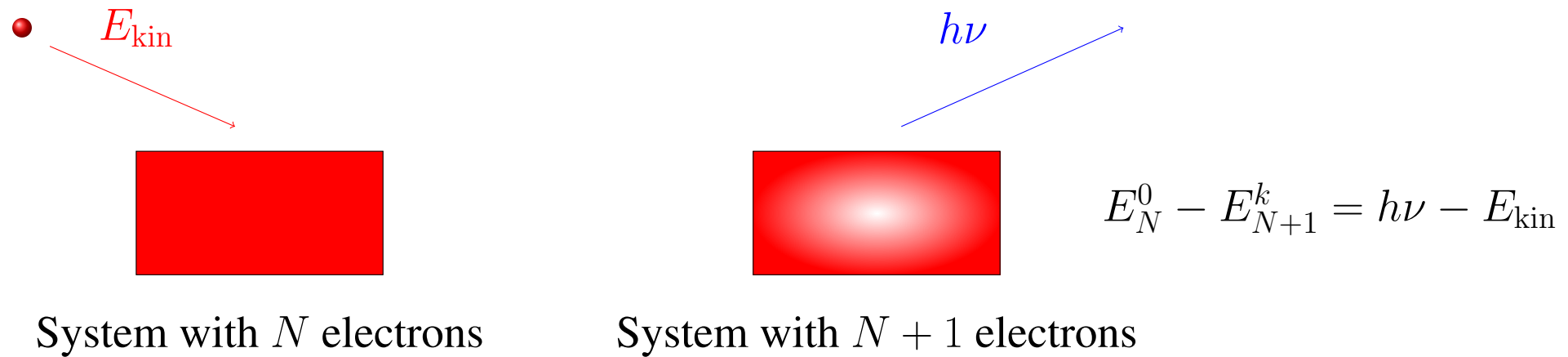
#### 2. Stability of the $N$ -particle system

$$2E_N^0 < E_{N+1}^0 + E_{N-1}^0.$$

Photoemission spectroscopy (PES)



Inverse photoemission spectroscopy (IPES)





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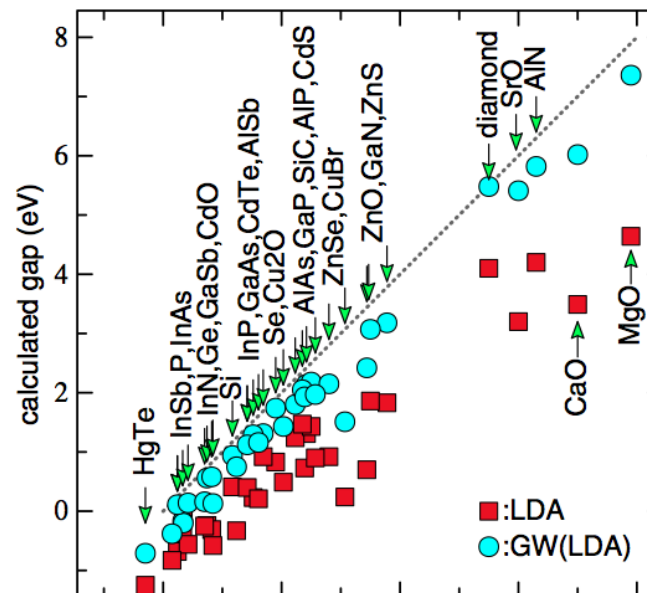
**Goal: compute the excitation energies  $E_{N+1}^k - E_N^0$  and  $E_{N-1}^k - E_N^0$**

- **Wavefunction methods: scales from  $N_b^6$  (CISD) to  $N_b!$  (full CI).**
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- **GW: decent to very good results (especially for extended systems).**

**Electronic excitations for perfect crystals ( $N \rightarrow +\infty$ )**



### Electronic ground state density

$$\rho_N^0(\mathbf{r}) = N \int_{\mathbb{R}^{3(N-1)}} |\Psi_N^0(\mathbf{r}, \mathbf{r}_2, \dots, \mathbf{r}_N)|^2 d\mathbf{r}_2 \cdots d\mathbf{r}_N$$

### One-body electronic ground state density matrix

$$\gamma_N^0(\mathbf{r}, \mathbf{r}') = N \int_{\mathbb{R}^{3(N-1)}} \Psi_N^0(\mathbf{r}, \mathbf{r}_2, \dots, \mathbf{r}_N) \Psi_N^0(\mathbf{r}', \mathbf{r}_2, \dots, \mathbf{r}_N) d\mathbf{r}_2 \cdots d\mathbf{r}_N$$

### One-body Green's function

$$G(\mathbf{r}, \mathbf{r}', t - t') = -i \langle \Psi_0^N | T(\Psi_H(\mathbf{r}, t) \Psi_H^\dagger(\mathbf{r}', t')) | \Psi_0^N \rangle$$

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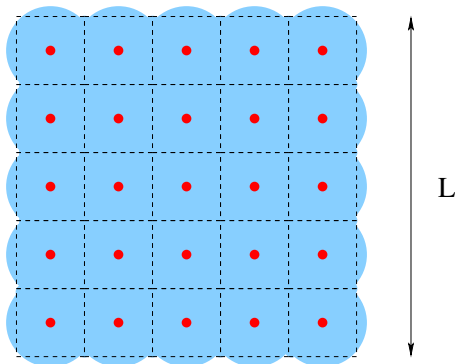
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### Thermodynamic limit problem (for periodic crystals):



$$\frac{E_{ZL^3}^0}{L^3} \xrightarrow{L \rightarrow \infty} E_{\text{per}}^0, \quad \rho_{ZL^3}^0(\mathbf{r}) \xrightarrow{L \rightarrow \infty} \rho_{\text{per}}^0(\mathbf{r})$$

$$\gamma_{ZL^3}^0(\mathbf{r}, \mathbf{r}') \xrightarrow{L \rightarrow \infty} \gamma_{\text{per}}^0(\mathbf{r}, \mathbf{r}'), \quad G(\mathbf{r}, \mathbf{r}', t) \xrightarrow{L \rightarrow \infty} G_{\text{per}}(\mathbf{r}, \mathbf{r}', t).$$

### 3 - One-body Green's function and self-energy

Let  $X$  be a Banach space (typically  $X = \mathcal{B}(\mathcal{H}_1)$ ).

Fourier transform: let  $f \in L^1(\mathbb{R}_t, X)$

$$\forall \omega \in \mathbb{R}, \quad [\mathcal{F}f](\omega) = \hat{f}(\omega) = \int_{-\infty}^{+\infty} f(t) e^{i\omega t} dt.$$

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**Laplace transform of causal functions: let  $f \in L^\infty(\mathbb{R}_t, X)$  s.t.  $f(t) = 0$  for  $t < 0$**

$$\forall z \in \mathbb{U} = \{z \in \mathbb{C} \mid \Im(z) > 0\}, \quad [\mathcal{L}f](z) = \int_{-\infty}^{+\infty} f(t) e^{izt} dt = \int_0^{+\infty} f(t) e^{izt} dt.$$

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**The Fourier and Laplace transforms can be extended to some distribution spaces.  
(extension of the Fourier transform to the space of tempered distributions).**

## Second quantization formalism (for fermions)

- **Fock space**

$$\mathbb{F} := \bigoplus_{N=0}^{+\infty} \mathcal{H}_N, \quad \mathcal{H}_0 = \mathbb{C}, \quad \mathcal{H}_1 = L^2(\mathbb{R}^3, \mathbb{C}), \quad \mathcal{H}_N = \bigwedge^N \mathcal{H}_1.$$

- **Creation and annihilation operators**

$$a \in \mathcal{A}(\mathcal{H}_1, \mathcal{B}(\mathbb{F})), \quad a^\dagger \in \mathcal{B}(\mathcal{H}_1, \mathcal{B}(\mathbb{F})), \quad \|a(\phi)\| = \|a(\phi)^\dagger\| = \|\phi\|,$$

$$\forall \phi \in \mathcal{H}_1, \quad a(\phi)|_{\mathcal{H}_N} : \mathcal{H}_N \rightarrow \mathcal{H}_{N-1}, \quad a^\dagger(\phi)|_{\mathcal{H}_N} : \mathcal{H}_N \rightarrow \mathcal{H}_{N+1}, \quad a^\dagger(\phi) = (a(\phi))^*,$$

$$\forall \Psi_N \in \mathcal{H}_N, \quad (a(\phi)\Psi_N)(\mathbf{r}_1, \dots, \mathbf{r}_{N-1}) = \sqrt{N} \int_{\mathbb{R}^3} \overline{\phi(\mathbf{r})} \Psi_N(\mathbf{r}, \mathbf{r}_1, \dots, \mathbf{r}_{N-1}) d\mathbf{r}.$$

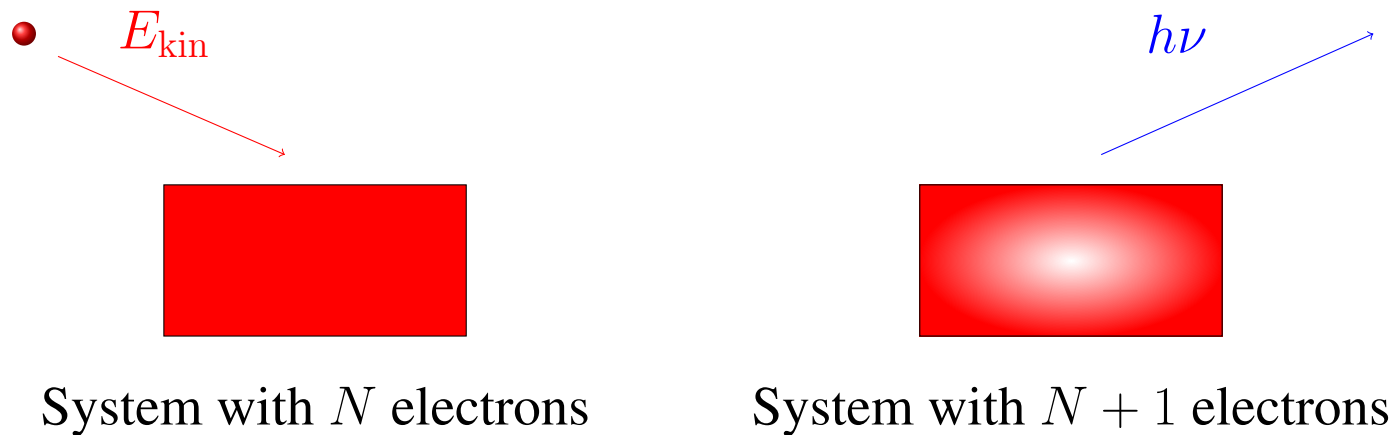
- **Canonical commutation relations (CCR)**

$$\forall \phi, \psi \in \mathcal{H}_1, \quad a(\phi)a(\psi)^\dagger + a(\psi)^\dagger a(\phi) = \langle \phi | \psi \rangle \text{Id}_{\mathbb{F}}.$$

#### Particle Green's function

- **Time representation:**  $G_p \in L^\infty(\mathbb{R}_t, \mathcal{B}(\mathcal{H}_1))$  defined by

$$\forall t \in \mathbb{R}, \quad \forall (f, g) \in \mathcal{H}_1 \times \mathcal{H}_1, \quad \langle g | G_p(t) | f \rangle = -i\Theta(t) \langle \Psi_0^N | a(g) e^{-it(H_{N+1} - E_0^N)} a^\dagger(f) | \Psi_0^N \rangle.$$



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$$\widehat{G}_p(\omega) = (\mathcal{F}G_p)(\omega), \quad \widehat{G}_p \in H^{-1}(\mathbb{R}_\omega, \mathcal{B}(\mathcal{H}_1)).$$

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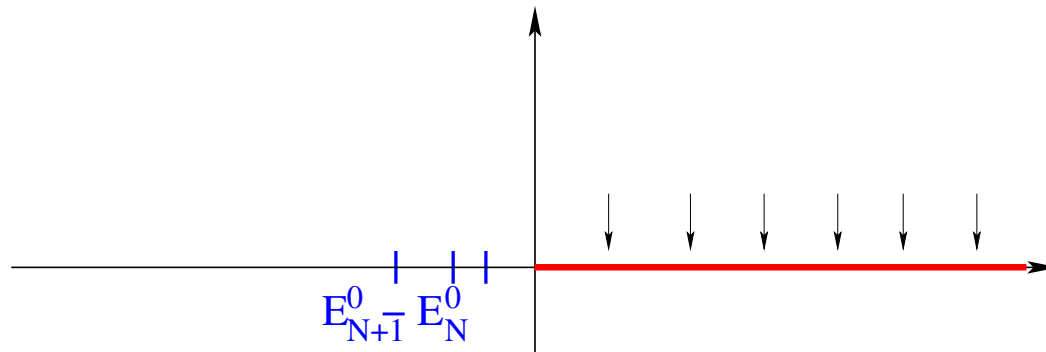
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- **Complex plane representation (analytic continuation of the Laplace transform)**

$$\widetilde{G}_p(z) = A_+(z - (H_{N+1} - E_N^0))^{-1} A_+^* \quad \text{where} \quad \begin{array}{l} A_+^* : \mathcal{H}_1 \rightarrow \mathcal{H}_{N+1} \\ f \mapsto a^\dagger(f) | \Psi_N^0 \rangle \end{array}$$

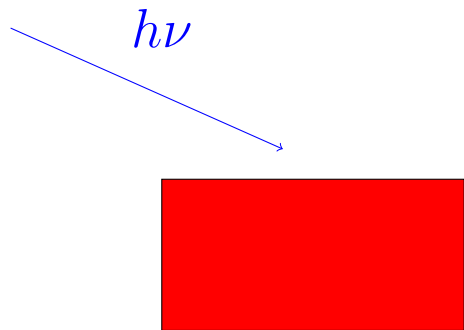
The singularities of  $z \mapsto \widetilde{G}_p(z)$  are contained in  $\sigma(H_{N+1} - E_N^0)$ .



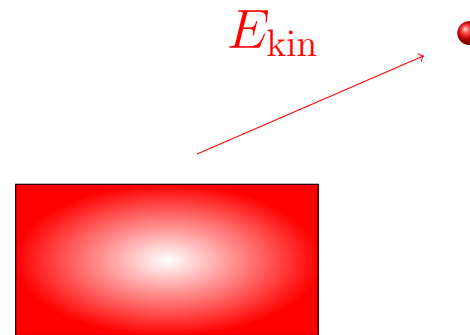
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System with  $N$  electrons



System with  $N - 1$  electrons

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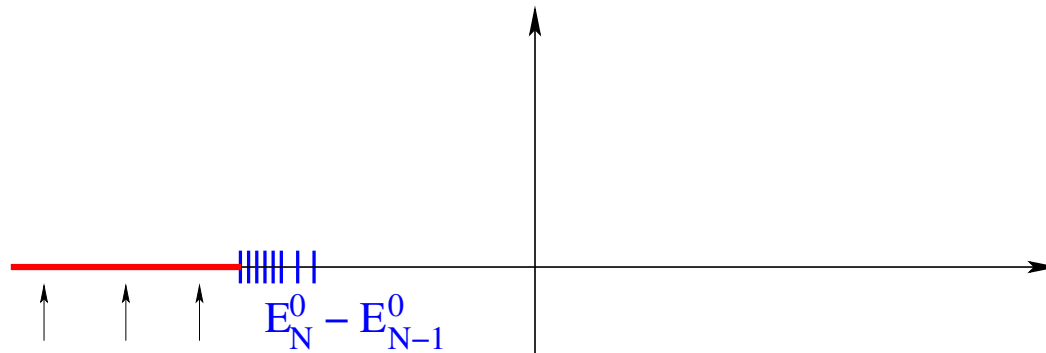
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#### Properties of the particle and hole Green's functions

- **Spectral functions (operator-valued measures on  $\mathbb{R}_\omega$ )**

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- **Galitskii-Migdal formula**

$$E_N^0 = \frac{1}{2} \mathbf{Tr}_{\mathcal{H}_1} \left( \left( \frac{d}{d\tau} - i \left( -\frac{1}{2} \Delta + v_{\text{ext}} \right) \right) G_h(\tau) \Big|_{\tau=0^-} \right).$$

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#### Green's functions of non-interacting systems

**System of non-interacting electrons subjected to an effective potential  $V$**

$$H_{0,N} = \sum_{i=1}^N \left( -\frac{1}{2} \Delta_{\mathbf{r}_i} + V(\mathbf{r}_i) \right) \text{ on } \mathcal{H}_N, \quad h_1 = -\frac{1}{2} \Delta + V \text{ on } \mathcal{H}_1.$$

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Time-ordered Green's function for interacting and non-interacting systems

$$G = G_p + G_h, \quad G_0 = G_{0,p} + G_{0,h}$$



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$$H_{0,N} = \sum_{i=1}^N \left( -\frac{1}{2} \Delta_{\mathbf{r}_i} + V(\mathbf{r}_i) \right) \text{ on } \mathcal{H}_N, \quad h_1 = -\frac{1}{2} \Delta + V \text{ on } \mathcal{H}_1.$$

#### Ground state of non-interacting systems

$$\Phi_N^0 = \phi_1 \wedge \cdots \wedge \phi_N, \quad \gamma_{0,N}^0 = \mathbb{1}_{]-\infty, \mu_0]}(h_1) = \sum_{i=1}^N |\phi_i\rangle \langle \phi_i|.$$

#### Particle and hole Green's functions

$$\tilde{G}_{0,p}(z) = (1 - \gamma_{0,N}^0)(z - h_1)^{-1}(1 - \gamma_{0,N}^0), \quad \tilde{G}_{0,h}(z) = \gamma_{0,N}^0(z - h_1)^{-1}\gamma_{0,N}^0,$$

#### Time-ordered Green's function for interacting and non-interacting systems

$$G = G_p + G_h, \quad G_0 = G_{0,p} + G_{0,h} \quad \Rightarrow \quad \boxed{\tilde{G}_0(z) = (z - h_1)^{-1}}$$

**(resolvent of  $h_1$  at  $z$ )**

---

#### Dynamical Hamiltonian

**Non-interacting systems:**  $\tilde{G}_0(z) = (z - h_1)^{-1}$

**Interacting systems:**  $\tilde{G}(z) = (z - \tilde{H}(z))^{-1}$ ,  $\tilde{H}(z)$  : **dynamical Hamiltonian**

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**(Dyson equation)**

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#### Road map:

1. construct a non-interacting Green's function  $\tilde{G}_0$   
(using e.g. the Kohn-Sham LDA Hamiltonian);
2. construct an approximation  $\tilde{\Sigma}^{\text{app}}(z)$  of the self-energy operator;
3. seek the singularities of  $\tilde{G}^{\text{app}}(z) := (z - (h_1 + \tilde{\Sigma}^{\text{app}}(z)))^{-1}$ .

## 4 - The dynamically screened Coulomb operator $W$



### The (bare) Coulomb operator $v_c$

**In the vacuum and neglecting relativistic effects, the electrostatic potential created by a time-dependent charge distribution  $\rho$  at point  $\mathbf{r}$  and time  $t$  is**

$$[V\rho](\mathbf{r}, t) = \int_{\mathbb{R}^3} \frac{1}{|\mathbf{r} - \mathbf{r}'|} \rho(\mathbf{r}', t) d\mathbf{r}'$$

$$V(\tau) = v_c \delta_0(\tau), \quad \widehat{v_c \rho}(\mathbf{k}) = \frac{4\pi}{|\mathbf{k}|^2} \widehat{\rho}(\mathbf{k}).$$

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**Screening:** in the presence of the molecular system, the perturbation of the electrostatic potential created at point  $\mathbf{r}$  and time  $t$  by a time-dependent external charge distribution  $\delta\rho$ , is given, in the linear response regime, by

$$\delta V(\mathbf{r}, t) = \int_{-\infty}^t W_+(\mathbf{r}, \mathbf{r}', t - t') \delta\rho(\mathbf{r}', t') dt'.$$

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The dynamically screened Coulomb operator is defined by

$$\forall \tau \in \mathbb{R}, \quad W(\tau) = \Theta(\tau)W_+(\tau) + \Theta(-\tau)W_+(-\tau) = v_c^{1/2}(\delta(\tau) - \chi_{\text{sym}}(\tau))v_c^{1/2}.$$

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$$\in L^\infty(\mathbb{R}, \mathcal{B}(L^2(\mathbb{R}^3)))$$

## **5 - Hedin's equations and the GW approximation**

## 5 - Hedin's equations and the GW approximation

### Notation

- **Kernel of a space-time operator  $A$**

$$A((\mathbf{r}_1, t_1), (\mathbf{r}_2, t_2)) \quad \leftrightarrow \quad A(12)$$

- **If  $A$  is a time-translation invariant space-time operator**

$$A(12^+) = A((\mathbf{r}_1, t_1), (\mathbf{r}_2, t_2^+)) = \lim_{t \rightarrow t_2^+} A((\mathbf{r}_1, t_1), (\mathbf{r}_2, t)) = [A((t_1 - t_2)^-)](\mathbf{r}_1, \mathbf{r}_2).$$

## Hedin's equations (Hedin '65)

- **Dyson equation**

$$G(12) = G_0(12) + \int d(34)G_0(13)\Sigma(34)G(42)$$

- **Self-energy**

$$\Sigma(12) = i \int d(34)G(13)W(41^+)\Gamma(32; 4)$$

- **Screened interaction**

$$W(12) = v_c(12) + \int d(34)v_c(13)P(34)W(42)$$

- **Irreducible polarization**

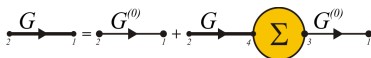
$$P(12) = -i \int d(34)G(13)G(41^+)\Gamma(34; 2)$$

- **Vertex function**

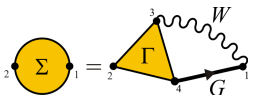
$$\Gamma(12; 3) = \delta(12)\delta(13) + \int d(4567)\frac{\delta\Sigma(12)}{\delta G(45)}G(46)G(75)\Gamma(67; 3)$$

The Hedin-Lundqvist Equations

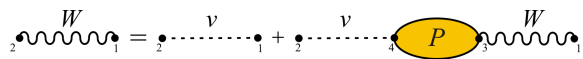
The Dyson eq.:  $G(12) = G^{(0)}(12) + \int d(34)G^{(0)}(13)\Sigma(34)G(42)$  [H I]



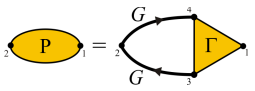
Self-energy:  $\Sigma(12) = i \int d(34)W(1^+3)G(14)\Gamma(42; 3)$  [H II]



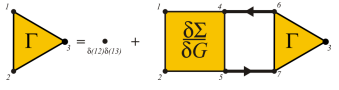
Screened interaction:  $W(12) = v(12) + \int d(34)W(13)P(34)v(42)$  [H III]



Irred. Polarisation:  $P(12) = -i \int d(34)G(23)G(42)\Gamma(34; 1)$  [H IV]



Vertex function:  $\Gamma(12; 3) = \delta(12)\delta(13) + \int d(4567)\frac{\delta\Sigma(12)}{\delta G(45)}G(46)G(75)\Gamma(67; 3)$  [H V]



## GW approximation (Hedin '65)

- **Dyson equation**

$$G(12) = G_0(12) + \int d(34)G_0(13)\Sigma(34)G(42)$$

- **Self-energy**

$$\Sigma(12) = i \int d(34)G(13)W(41^+)\Gamma(32; 4)$$

- **Screened interaction**

$$W(12) = v_c(12) + \int d(34)v_c(13)P(34)W(42)$$

- **Irreducible polarization**

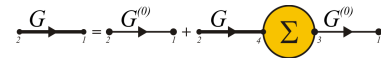
$$P(12) = -i \int d(34)G(13)G(41^+)\Gamma(34; 2)$$

- **Vertex function**

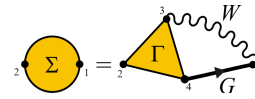
$$\Gamma(12; 3) = \delta(12)\delta(13) + \underbrace{\int d(4567) \frac{\delta\Sigma(12)}{\delta G(45)} G(46)G(75)\Gamma(67; 3)}_{\text{Neglect this term}}$$

The Hedin-Lundqvist Equations

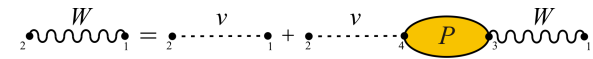
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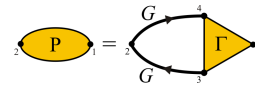
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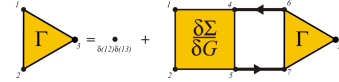
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### GW equations (Hedin '65)

- **Dyson equation**

$$G^{\text{app}}(12) = G_0(12) + \int d(34)G_0(13)\Sigma^{\text{app}}(34)G^{\text{app}}(42)$$

- **Self-energy**

$$\Sigma^{\text{app}}(12) = iG^{\text{app}}(12)W^{\text{app}}(21^+)$$

- **Screened interaction**

$$W^{\text{app}}(12) = v_c(12) + \int d(34)v_c(13)P^{\text{app}}(34)W^{\text{app}}(42)$$

- **Irreducible polarization**

$$P^{\text{app}}(12) = -iG^{\text{app}}(12)G^{\text{app}}(21)$$

### GW equations in a mixed time-frequency representation

- **Dyson equation**

$$\widehat{G}^{\text{app}}(\omega) = \widehat{G}_0(\omega) + \widehat{G}_0(\omega)\widehat{\Sigma}^{\text{app}}(\omega)\widehat{G}^{\text{app}}(\omega)$$

- **Self-energy**

$$\Sigma^{\text{app}}(\tau) = iG^{\text{app}}(0^-) \odot v_c \delta_0(\tau) + G^{\text{app}}(\tau) \odot W_c^{\text{app}}(-\tau)$$

- **Screened interaction**

$$\widehat{W}_c^{\text{app}}(\omega) = \left[ \left( 1 - v_c \widehat{P}^{\text{app}}(\omega) \right)^{-1} - 1 \right] v_c$$

- **Irreducible polarization**

$$P^{\text{app}}(\tau) = -iG^{\text{app}}(\tau) \odot G^{\text{app}}(-\tau)$$

**Hadamard product of two operators:**  $(A \odot B)(\mathbf{r}_1, \mathbf{r}_2) = A(\mathbf{r}_1, \mathbf{r}_2)B(\mathbf{r}_2, \mathbf{r}_1)$ .

## GW equations on imaginary axes

- Dyson equation**

$$\tilde{G}^{\text{app}}(\mu + i\omega) = \tilde{G}_0(\mu + i\omega) + \tilde{G}_0(\mu + i\omega)\tilde{\Sigma}^{\text{app}}(\mu + i\omega)\tilde{G}^{\text{app}}(\mu + i\omega)$$

- Self-energy**

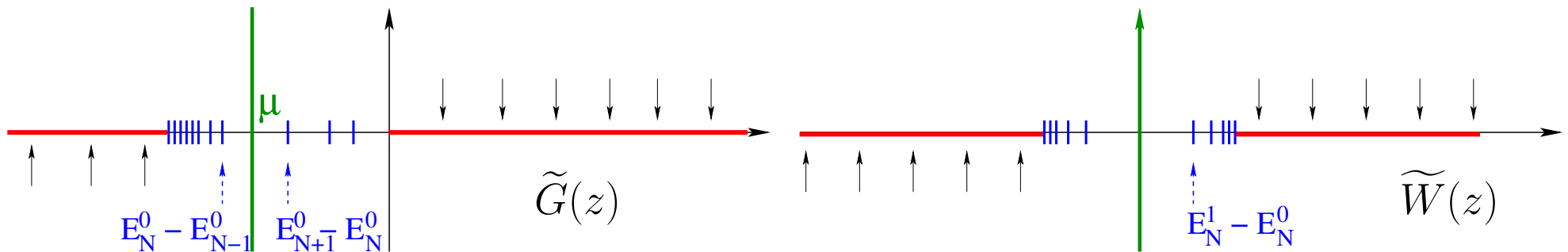
$$\tilde{\Sigma}^{\text{app}}(\mu + i\omega) = -\gamma_N^{0,\text{LDA}} \odot v_c - \frac{1}{2\pi} \int_{-\infty}^{+\infty} \tilde{G}^{\text{app}}(\mu + i(\omega - \omega')) \odot \tilde{W}_c^{\text{app}}(i\omega') d\omega'$$

- Screened interaction**

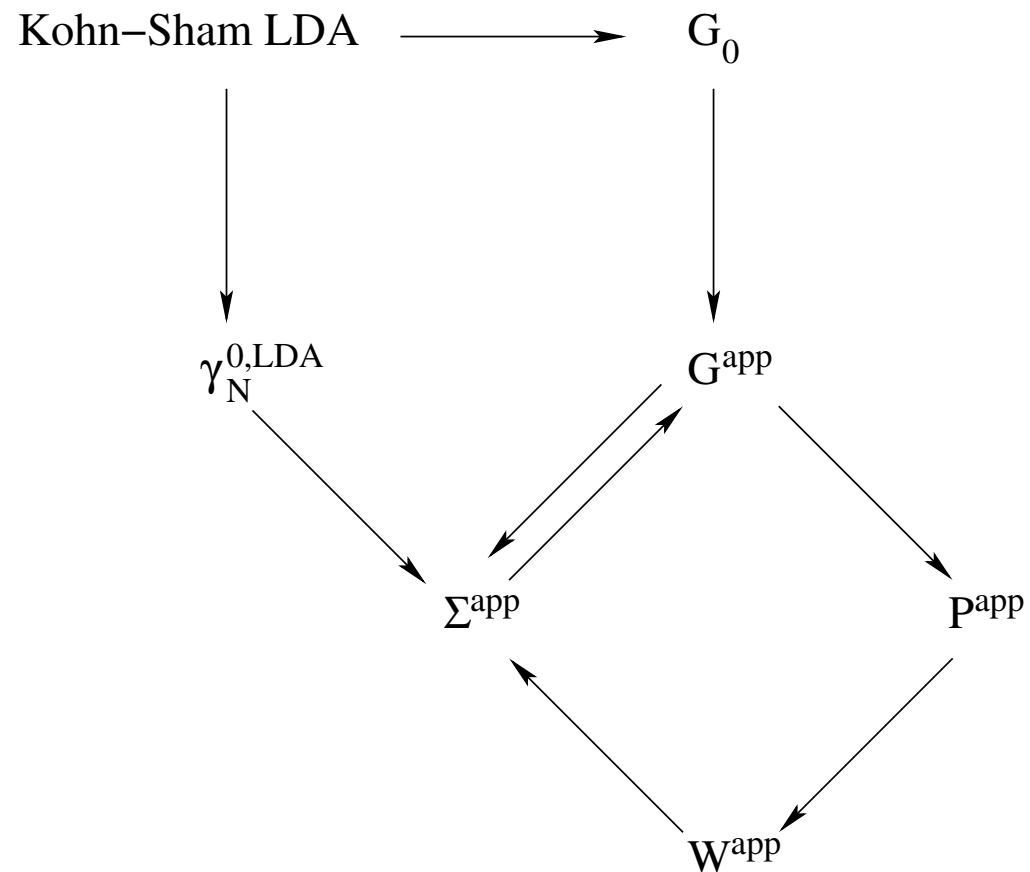
$$\tilde{W}_c^{\text{app}}(i\omega) = \left[ \left( 1 - v_c \tilde{P}^{\text{app}}(i\omega) \right)^{-1} - 1 \right] v_c$$

- Irreducible polarization**

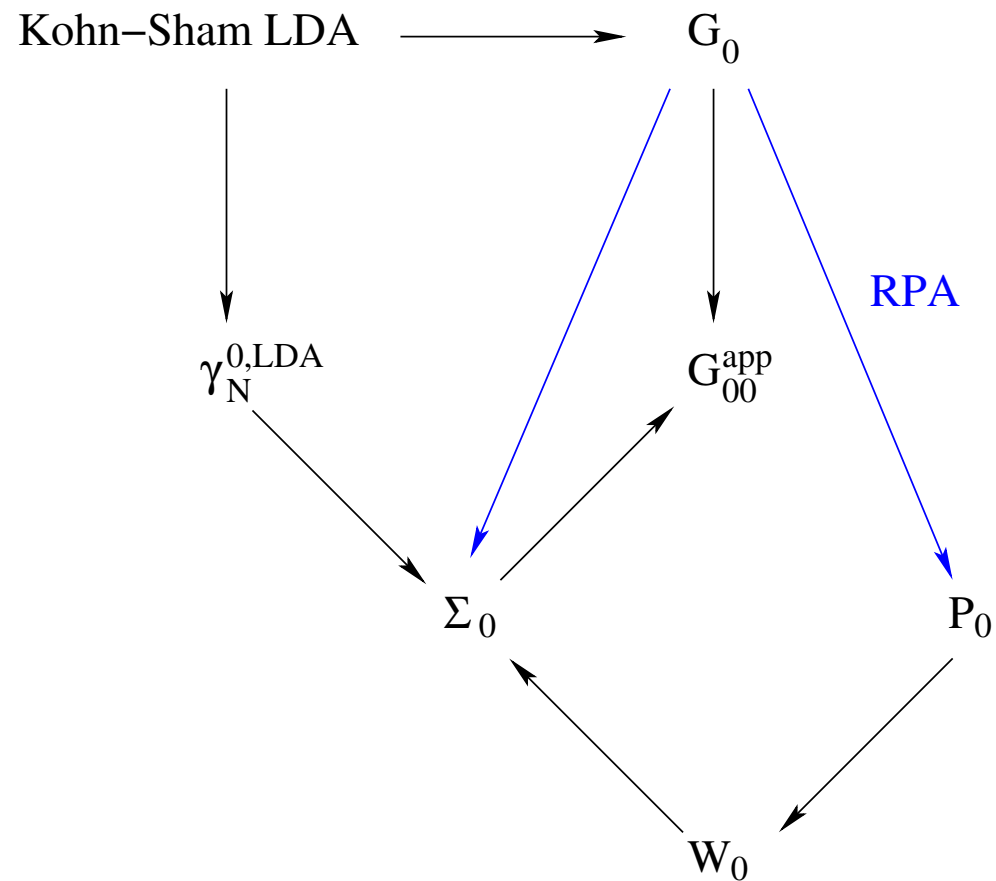
$$\tilde{P}^{\text{app}}(i\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \tilde{G}^{\text{app}}(\mu + i\omega') \odot \tilde{G}^{\text{app}}(\mu + i(\omega' - \omega)) d\omega'$$



## GW flowchart

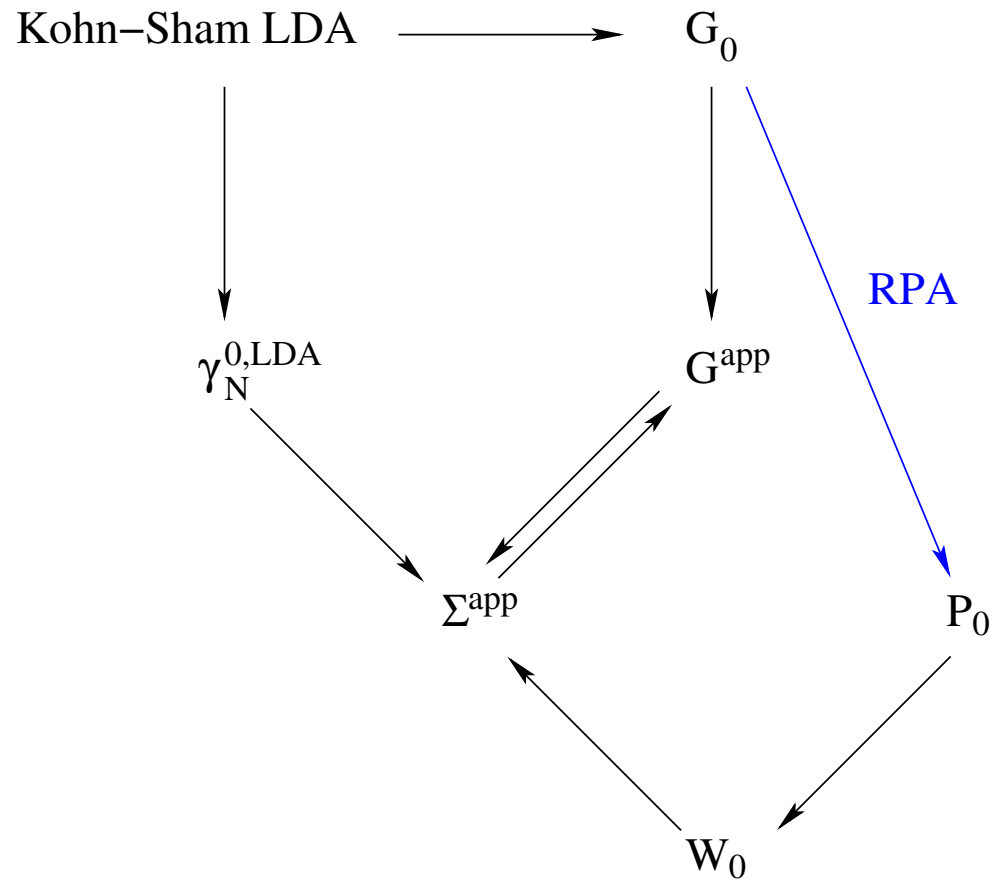


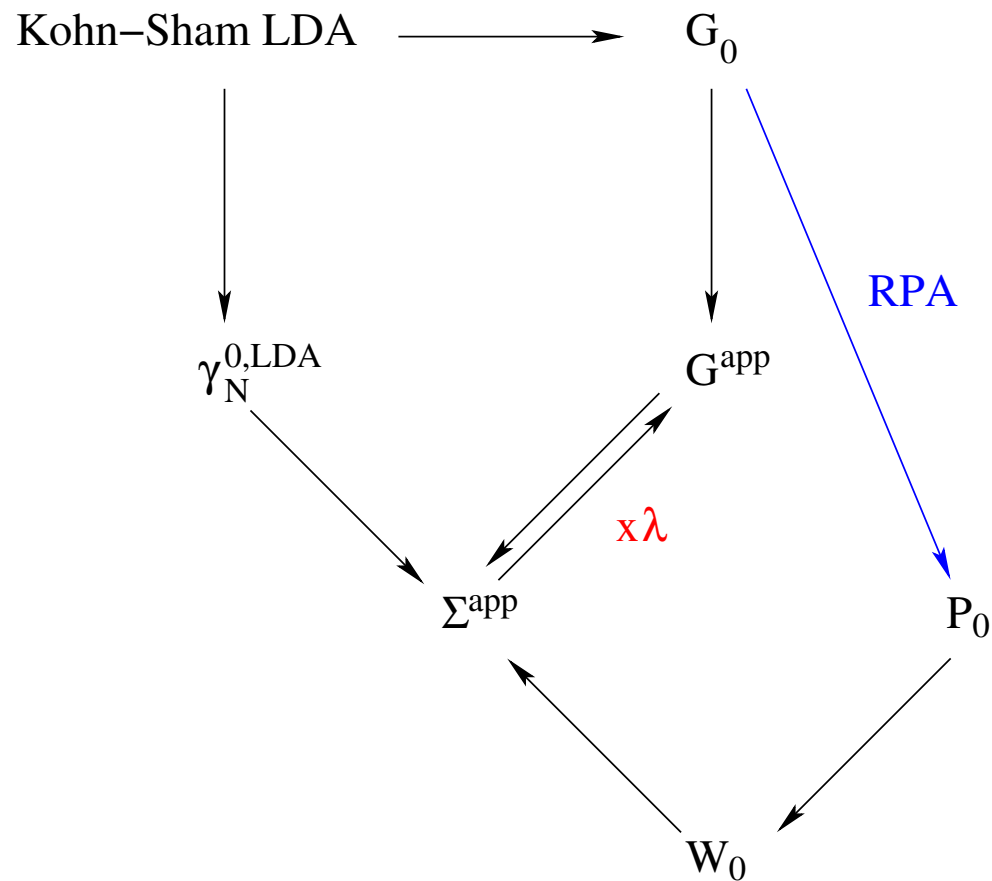
**The existence of a solution to these equations is an open problem.**

**$G_0W_0$  method**

**Theorem (EC, Gontier, Stoltz '15).** The  $G_0W_0$  method is well defined.

## Self-consistent $GW_0$ method



Self-consistent  $GW_0$  method

**Theorem (EC, Gontier, Stoltz '15).** The self-consistent  $GW_0$  method is well defined in the perturbative regime ( $\lambda$  small enough).

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### Summary of the current mathematical results

EC, D. Gontier and G. Stoltz, *A mathematical analysis of the GW method for computing electronic excited state energies of molecules*, arXiv 1506.01737.

- The fundamental objects ( $G$ ,  $G_0$ ,  $\Sigma$ ,  $P$ ,  $W$ ) involved in the GW formalism are mathematically well-defined.
- Some of their properties (sum rules, signs, Galitskii-Migdal formula) have been rigorously established.
- The  $G_0W_0$  version of the GW approach is well defined.
- The self-consistent  $GW_0$  method is well-defined in the perturbation regime.

### Work in progress

- Analysis of the partially self-consistent GW method (self-consistency on the eigenvalues only).
- Analysis of the fully self-consistent GW method.
- Infinite systems (periodic crystals, disordered materials).
- Numerical algorithms.



# **A1 - Fourier transform**

**Definition (Schwartz space).** A function  $\phi : \mathbb{R} \rightarrow \mathbb{C}$  of class  $C^\infty$  is called rapidly decreasing if for all  $p \in \mathbb{N}$ ,

$$\mathcal{N}_p(\phi) := \max_{0 \leq k \leq p} \max_{0 \leq l \leq p} \sup_{t \in \mathbb{R}} \left| t^k \frac{d^l \phi}{dt^l}(t) \right| < \infty.$$

The vector space of all  $C^\infty$  rapidly decreasing functions from  $\mathbb{R}$  to  $\mathbb{C}$  is denoted by  $\mathcal{S}(\mathbb{R})$  and is called the Schwartz space.

Gaussian functions and gaussian-polynomial functions are in  $\mathcal{S}(\mathbb{R})$ .

**Definition (convergence in  $\mathcal{S}(\mathbb{R})$ ).** A sequence  $(\phi_n)_{n \in \mathbb{N}}$  of functions of  $\mathcal{S}(\mathbb{R})$  converges in  $\mathcal{S}(\mathbb{R})$  to  $\phi \in \mathcal{S}(\mathbb{R})$  if

$$\forall p \in \mathbb{N}, \quad \mathcal{N}_p(\phi_n - \phi) \xrightarrow{n \rightarrow +\infty} 0.$$

**Definition (Fourier transform in  $\mathcal{S}(\mathbb{R})$ ).** The Fourier transform of a function  $\phi \in \mathcal{S}(\mathbb{R})$  is the function denoted by  $\widehat{\phi}$  or  $\mathcal{F}\phi$  and defined by

$$\forall \omega \in \mathbb{R}, \quad \widehat{\phi}(\omega) = \mathcal{F}\phi(\omega) := \int_{-\infty}^{+\infty} \phi(t) e^{i\omega t} dt.$$

**Remark.** Other sign and normalization conventions are also commonly used in the physics and mathematical literatures.

**Theorem (some properties of  $\mathcal{S}(\mathbb{R})$ ).** The Schwartz space  $\mathcal{S}(\mathcal{R})$  is stable by

1. translation, scaling, complex conjugation;
2. derivation and multiplication by polynomials;
3. Fourier transform ( $\forall p \in \mathbb{N}, \exists C_p \in \mathbb{R}_+$  s.t.  $\forall \phi \in \mathcal{S}(\mathbb{R}), \mathcal{N}_p(\widehat{\phi}) \leq C_p \mathcal{N}_{p+2}(\phi)$ ).

Besides, the Fourier transform defines a sequentially bicontinuous linear map from  $\mathcal{S}(\mathcal{R})$  onto itself, with inverse  $\mathcal{F}^{-1}$  defined by

$$\forall \psi \in \mathcal{S}(\mathbb{R}), \quad \forall t \in \mathbb{R}, \quad [\mathcal{F}^{-1}\psi](t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \psi(\omega) e^{-i\omega t} d\omega.$$

**Definition (tempered distributions).** We denote by  $\mathcal{S}'(\mathbb{R})$  the vector space of the linear forms  $u : \mathcal{S}(\mathbb{R}) \rightarrow \mathbb{C}$  satisfying the following continuity property : there exists  $p \in \mathbb{N}$  and  $C \in \mathbb{R}_+$  such that

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad |\langle u, \phi \rangle| \leq C \mathcal{N}_p(\phi). \quad (1)$$

**Theorem (canonical embedding of  $L^p(\mathbb{R})$  in  $\mathcal{S}'(\mathbb{R})$ ).** Let  $1 \leq p \leq +\infty$  and  $f \in L^p(\mathbb{R})$ . Then, the linear form  $u_f : \mathcal{S}(\mathbb{R}) \rightarrow \mathbb{C}$  defined by

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle u_f, \phi \rangle := \int_{-\infty}^{+\infty} f(t)\phi(t) dt$$

is a tempered distribution, and if  $f_1$  and  $f_2$  are both in  $L^p(\mathbb{R})$  and such that  $u_{f_1} = u_{f_2}$ , then  $f_1 = f_2$ .

We can therefore, with no ambiguity, denote  $f$  instead of  $u_f$ :

$$f \in L^p(\mathbb{R}) \hookrightarrow \mathcal{S}'(\mathbb{R}) \quad \text{and} \quad \forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle f, \phi \rangle := \int_{-\infty}^{+\infty} f(t)\phi(t) dt.$$

**Definition-Theorem** (basic operations on  $\mathcal{S}'(\mathbb{R})$ )

1. Let  $u \in \mathcal{S}'(\mathbb{R})$ . The derivative of  $u$  is the element of  $\mathcal{S}'(\mathbb{R})$  denote by  $\frac{du}{dt}$  and defined by

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \left\langle \frac{du}{dt}, \phi \right\rangle = -\left\langle u, \frac{d\phi}{dt} \right\rangle.$$

2. Let  $u \in \mathcal{S}'(\mathbb{R})$  and  $p : \mathbb{R} \rightarrow \mathbb{C}$  a polynomial function. The product  $pu$  is the element of  $\mathcal{S}'(\mathbb{R})$  defined by

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle pu, \phi \rangle = \langle u, p\phi \rangle.$$

3. Let  $u \in \mathcal{S}'(\mathbb{R})$ . The Fourier transform of  $u$  is the element of  $\mathcal{S}'(\mathbb{R})$  denoted by  $\hat{u}$  or  $\mathcal{F}u$  and defined by

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle \hat{u}, \phi \rangle = \langle u, \hat{\phi} \rangle.$$

**Crucial point:** the above definitions are consistent with the usual definitions for "nice" functions (ex:  $\hat{u}(\omega) = \int_{-\infty}^{+\infty} u(t)e^{i\omega t} dt$  for all  $u \in L^1(\mathbb{R})$ ).

**Exercise:** define the translation, scaling, and complex conjugation operations on  $\mathcal{S}'(\mathbb{R})$ .

**Definition (convergence in  $\mathcal{S}'(\mathbb{R})$ ).** A sequence  $(u_n)_{n \in \mathbb{N}}$  of elements of  $\mathcal{S}'(\mathbb{R})$  converges in  $\mathcal{S}'(\mathbb{R})$  to  $u \in \mathcal{S}'(\mathbb{R})$  if and only if

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle u_n, \phi \rangle \xrightarrow[n \rightarrow +\infty]{} \langle u, \phi \rangle.$$

**Theorem (some properties of the Fourier transform on  $\mathcal{S}'(\mathbb{R})$ ).**

1. Let  $u \in \mathcal{S}'(\mathbb{R})$ . Then

$$\mathcal{F} \left( \frac{du}{dt} \right) = i\omega \hat{u}(\omega) \quad \text{and} \quad \mathcal{F}(tu(t)) = i \frac{d\hat{u}}{d\omega}(\omega).$$

2. The Fourier transform is a sequentially bicontinuous linear map from  $\mathcal{S}'(\mathbb{R})$  onto itself, with inverse  $\mathcal{F}^{-1}$  defined by

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle \mathcal{F}^{-1}u, \phi \rangle_{\mathcal{S}', \mathcal{S}} = \langle u, \mathcal{F}^{-1}\phi \rangle_{\mathcal{S}', \mathcal{S}}.$$

**Exercise:** compute the Fourier transform of a translated tempered distribution, of a scaled tempered distribution, and of the complex conjugate of a tempered distribution.

**Two important cases**

**1. The Dirac distribution at  $t_0 \in \mathbb{R}$  is the tempered distribution denoted by  $\delta_{t_0}$  and defined by**

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle \delta_{t_0}, \phi \rangle = \phi(t_0).$$

**Computation of the Fourier transform  $\delta_{t_0}$ :**

$$\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle \widehat{\delta}_{t_0}, \phi \rangle = \langle \delta_{t_0}, \widehat{\phi} \rangle = \widehat{\phi}(t_0) = \int_{-\infty}^{+\infty} \phi(\omega) e^{i\omega t_0} d\omega = \langle e^{i\omega t_0}, \phi \rangle.$$

**Thus, the Fourier transform of  $\delta_{t_0}$  is the smooth function  $\widehat{\delta}_{t_0}(\omega) = e^{i\omega t_0}$ .**

**2. The Heaviside function is the function of  $L^\infty(\mathbb{R}) \hookrightarrow \mathcal{S}'(\mathbb{R})$  defined by**

$$\Theta(t) = 1 \text{ if } t > 0 \quad \text{and} \quad \Theta(t) = 0 \text{ if } t < 0.$$

**Fourier transform of the Heaviside function  $\Theta(t)$ :**

$$\widehat{\Theta}(\omega) = \pi \delta_0(\omega) + i \text{ p.v. } \left( \frac{1}{\omega} \right) \tag{2}$$

**where**  $\forall \phi \in \mathcal{S}(\mathbb{R}), \quad \langle \text{p.v. } \left( \frac{1}{\cdot} \right), \phi \rangle := \lim_{\varepsilon \rightarrow 0^+} \int_{\mathbb{R} \setminus [-\varepsilon, \varepsilon]} \frac{\phi(\omega)}{\omega} d\omega = \lim_{\eta \rightarrow 0^+} \int_{-\infty}^{+\infty} \frac{\omega}{\eta^2 + \omega^2} \phi(\omega) d\omega.$

**Proof of (2).** For all  $\eta > 0$ , we set  $\Theta_\eta(t) = \Theta(t)e^{-\eta t}$ .

- Since for all  $\eta > 0$ ,  $\Theta_\eta \in L^1(\mathbb{R})$ , we have

$$\widehat{\Theta}_\eta(\omega) = \int_{-\infty}^{+\infty} \Theta_\eta(t)e^{i\omega t} dt = \int_0^{+\infty} e^{-(\eta-i\omega)t} dt = \frac{1}{\eta - i\omega} = \frac{\eta}{\eta^2 + \omega^2} + i\frac{\omega}{\eta^2 + \omega^2}.$$

- We have  $\Theta_\eta \xrightarrow[\eta \rightarrow 0^+]{\mathcal{S}'(\mathbb{R})} \Theta$ . Indeed, for all  $\phi \in \mathcal{S}(\mathbb{R})$ ,

$$\langle \Theta_\eta, \phi \rangle = \int_{-\infty}^{+\infty} \Theta_\eta(t)\phi(t) dt = \int_0^{+\infty} e^{-\eta t}\phi(t) dt \xrightarrow[\eta \rightarrow 0^+]{\text{DCT}} \int_0^{+\infty} \phi(t) dt = \langle \Theta, \phi \rangle.$$

- We have  $\widehat{\Theta}_\eta \xrightarrow[\eta \rightarrow 0^+]{\mathcal{S}'(\mathbb{R})} \pi\delta_0 + i \text{ p.v. } \left( \frac{1}{\cdot} \right)$ . Indeed, for all  $\phi \in \mathcal{S}(\mathbb{R})$ ,

$$\langle \widehat{\Theta}_\eta, \phi \rangle = \int_{-\infty}^{+\infty} \frac{\eta}{\eta^2 + \omega^2}\phi(\omega) d\omega + i \int_{-\infty}^{+\infty} \frac{\omega}{\eta^2 + \omega^2}\phi(\omega) d\omega \xrightarrow[\eta \rightarrow 0^+]{} \pi\phi(0) + i\langle \text{p.v. } \left( \frac{1}{\cdot} \right), \phi \rangle.$$

- By sequential continuity of the Fourier transform in  $\mathcal{S}'(\mathbb{R})$ , we have

$$\Theta_\eta \xrightarrow[\eta \rightarrow 0^+]{\mathcal{S}'(\mathbb{R})} \Theta \quad \Rightarrow \quad \widehat{\Theta}_\eta \xrightarrow[\eta \rightarrow 0^+]{\mathcal{S}'(\mathbb{R})} \widehat{\Theta}.$$

We obtain (2) by uniqueness of the limit in  $\mathcal{S}'(\mathbb{R})$ .



**Remark.** The space  $\mathcal{S}'(\mathbb{R})$  contains

- all the functions of the form  $p(t)f(t)$ , where  $p$  is a polynomial function and  $f \in L^p(\mathbb{R})$  for some  $1 \leq p \leq \infty$ ;
- all the compactly supported distributions on  $\mathbb{R}$ ;
- all the periodic distributions on  $\mathbb{R}$ . In addition,

$$u \text{ } T\text{-periodic distribution} \quad \Rightarrow \quad \hat{u} = \sum_{k \in \mathbb{Z}} c_k(u) \delta_{k\omega}, \quad \text{with } \omega = \frac{2\pi}{T}$$

where the  $c_k(u)$  are the Fourier coefficients of  $u$ . If  $u$  is a locally integrable function, then

$$c_k(u) = \int_0^T u(t) e^{ik\omega t} dt = \int_0^T u(t) e^{2i\pi kt/T} dt.$$

The space  $\mathcal{S}'(\mathbb{R})$  does not contain the distributions which rapidly grow at infinity, such as the exponential function.

**Theorem (Fourier transform on  $L^2(\mathbb{R})$ ).** Up to a normalization constant, the Fourier transform is a unitary operator on  $L^2(\mathbb{R})$ : for all  $u \in L^2(\mathbb{R})$ ,  $\widehat{u} \in L^2(\mathbb{R})$  and  $\|\widehat{u}\|_{L^2} = (2\pi)^{1/2}\|u\|_{L^2}$ .

**Theorem (Convolution and Fourier transform).**

1. The convolution product of two functions  $f$  and  $g$  of  $L^1(\mathbb{R})$  is the function of  $L^1(\mathbb{R})$  denoted by  $f \star g$  and defined (almost everywhere) by

$$(f \star g)(t) := \int_{-\infty}^{+\infty} f(t - t') g(t') dt'. \quad (3)$$

We have for all  $f$  and  $g$  in  $L^1(\mathbb{R})$ ,

$$\widehat{f \star g}(\omega) = \widehat{f}(\omega) \widehat{g}(\omega). \quad (4)$$

2. We have for all  $f$  and  $g$  in  $L^1(\mathbb{R})$  such that  $\widehat{f}$  and  $\widehat{g}$  also are in  $L^1(\mathbb{R})$ ,

$$\widehat{f} \widehat{g}(\omega) = \frac{1}{2\pi} (\widehat{f \star g})(\omega). \quad (5)$$

The definition (3) and the equalities (4)- (5) can be extended to wider classes of tempered distributions. In particular,  $\delta_0 \star u = u$  for all  $u \in \mathcal{S}'(\mathbb{R})$ .

## **A2 - Causal functions, Hilbert transform and Kramers-Kronig relations**

**Definition (causal function).** A function  $f \in \mathbb{R}_t \rightarrow \mathbb{C}$  is called causal if  $f = 0$  a.e. on  $(-\infty, 0)$ .

**Definition (Hilbert transform on  $\mathcal{S}(\mathbb{R}_\omega)$ ).** The Hilbert transform of a function  $\hat{\phi} \in \mathcal{S}(\mathbb{R}_\omega)$  is the function of  $C^\infty(\mathbb{R}_\omega)$  denoted by  $\mathfrak{h}\hat{\phi}$  and defined as

$$\mathfrak{h}\hat{\phi} = \frac{1}{\pi} \text{p.v.} \left( \frac{1}{\cdot} \right) \star \hat{\phi} \quad \text{or equivalently as} \quad \mathfrak{h}\hat{\phi} = \mathcal{F} \left( -i \operatorname{sgn}(\cdot) \mathcal{F}^{-1} \hat{\phi} \right).$$

**Proposition (Hilbert transform on  $L^2(\mathbb{R}_\omega)$ ).** The Hilbert transform  $\mathfrak{h}$  defines a unitary operator on  $L^2(\mathbb{R}_\omega)$ , with inverse  $-\mathfrak{h}$ , which commutes with the translations and the positive dilations, and anticommutes with the reflections.

The Hilbert transform can be extended by continuity to a large class of tempered distributions. In particular, it is well defined on the set of Fourier transforms of bounded functions.

**Theorem (Karmers-Kronig relations).** Let  $f \in L^\infty(\mathbb{R}_t)$  be a causal function and let  $\widehat{f}$  be its Fourier transform. Then,

$$\boxed{\Re \widehat{f} = -\mathfrak{h} \left( \Im \widehat{f} \right) \quad \text{and} \quad \Im \widehat{f} = \mathfrak{h} \left( \Re \widehat{f} \right).} \quad (6)$$

**Elements of proof.** Since  $f$  is causal, we have  $f = \Theta f$ . Hence,

$$\widehat{f} = \frac{1}{2\pi} \left( \widehat{\Theta} \star \widehat{f} \right) = \frac{1}{2\pi} \left( \pi \delta_0 + i \text{p.v.} \left( \frac{1}{\cdot} \right) \right) \star \widehat{f} = \frac{1}{2} \left( \widehat{f} + i \mathfrak{h} \widehat{f} \right).$$

Therefore,

$$\widehat{f} = i \mathfrak{h} \widehat{f}.$$

Inserting the identity  $\widehat{f} = \Re \widehat{f} + i \Im \widehat{f}$ , and identifying the real and imaginary parts, we get

$$\Re \widehat{f} = -\mathfrak{h} \left( \Im \widehat{f} \right) \quad \text{and} \quad \Im \widehat{f} = \mathfrak{h} \left( \Re \widehat{f} \right).$$

**Definition (Laplace transform of a causal function).** Let

$$\mathbb{U} = \{z \in \mathbb{C} \mid \Im(z) > 0\}$$

be the upper-half plane, and  $f : \mathbb{R} \rightarrow \mathbb{C}$  be a causal function of  $L^p(\mathbb{R})$  for some  $1 \leq p \leq \infty$ . The Laplace transform of  $f$  is the  $\mathbb{C}$ -valued function on the upper-half plane  $\mathbb{U}$  denoted by  $\tilde{f}$  or  $\mathcal{L}f$  and defined by

$$\forall z \in \mathbb{U}, \quad \tilde{f}(z) = \mathcal{L}f(z) = \int_{-\infty}^{+\infty} f(t)e^{izt} dt.$$

**Remark.** The Laplace transform can in fact be defined for any causal tempered distribution.

**Remark.** Other (equivalent) definitions can be found in the mathematics and physics literatures.